

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED)		ATTORNEY'S DOCKET NUMBER <b>X-15642</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)  <b>10/516490</b>
INTERNATIONAL APPLICATION NO.  PCT/US03/15395	INTERNATIONAL FILING DATE  02 June 2003 (02.06.2003)	PRIORITY DATE CLAIMED  04 June 2002 (04.06.2002)
TITLE OF INVENTION: MODIFIED GLUCAGON-LIKE PEPTIDE-1 ANALOGS		
APPLICANT(S) FOR DO/EO/US: Richard Dennis DiMarchi, David Lee Smiley, and Lianshan Zhang		
Applicant herewith submits to the U.S. Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))               <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A declaration of the inventors 35 U.S.C. 371(c)(4) was provided in the PCT Request Form.</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (IPER), including any annexes, and, if not in English, an English language translation of the annexes to the IPER under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol>		
<b>Items 12. to 18. below concern document(s) or information included:</b>		
<ol style="list-style-type: none"> <li>12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>13. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>14. <input type="checkbox"/> Assignment has been recorded at reel _____, frame _____.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li style="padding-left: 20px;"><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>16. <input checked="" type="checkbox"/> A Sequence Listing, 1.821 Statement, and diskette.</li> <li>17. <input checked="" type="checkbox"/> A power of attorney with attachment.</li> <li>18. <input checked="" type="checkbox"/> Other items or information:            Please amend the first page of the specification by inserting the following cross-reference after the title:            "This is the national phase application, under 35 USC 371, for PCT/US03/15395, filed 02 June 2003, which, claims the benefit, under 35 USC 119(e), of US provisional application 60/385,927, filed 04 June 2002."</li> </ol>		

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<p>19. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b>          Neither international preliminary examination fee (37 CFR 1.482)          nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO          and International Search Report not prepared by the EPO or JPO.      <b>\$1110.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO          but International Search Report prepared by the EPO or JPO ..... <b>\$950.00</b></p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but          international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... <b>\$790.00</b></p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482)          but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$750.00</b></p> <p>International preliminary examination fee paid to USPTO (37 CFR          1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... <b>\$100.00</b></p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$1,110.00</b></p> <p>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than __ 20 __ 30          months from the earliest claimed priority date (37 CFR 1.492(e)).      \$</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:25%;">CLAIMS</th> <th style="width:25%;">NUMBER FILED</th> <th style="width:25%;">NUMBER EXTRA</th> <th style="width:25%;">RATE</th> </tr> <tr> <td>Total claims</td> <td style="text-align: center;">42-20=</td> <td style="text-align: center;">22</td> <td style="text-align: center;">X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">7-3=</td> <td style="text-align: center;">4</td> <td style="text-align: center;">X \$88.00</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="text-align: center;">+ \$300.00</td> </tr> </table> <p style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS = \$ 748.00</b></p> <p>Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity          Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).      \$</p> <p style="text-align: right;"><b>SUBTOTAL = \$1,858.00</b></p> <p>Processing fee of <b>\$130.00</b> for furnishing English translation later than __ 20 __ 30          months from the earliest claimed priority date (37 CFR 1.492(f)).      \$</p> <p style="text-align: right;"><b>TOTAL NATIONAL FEE = \$1,858.00</b></p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be          accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).      \$</p> <p style="text-align: right;"><b>\$40.00 per property</b></p> <p style="text-align: right;"><b>TOTAL FEES ENCLOSED = \$1,946.00</b></p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:70%;"></td> <td style="width:30%; text-align: center;">Amount to be refunded</td> <td style="width:10%; text-align: center;">\$</td> </tr> <tr> <td></td> <td style="text-align: center;">charged</td> <td style="text-align: center;">\$</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	42-20=	22	X \$18.00	Independent claims	7-3=	4	X \$88.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00		Amount to be refunded	\$		charged	\$	<p style="text-align: center;"><b>CALCULATIONS PTO USE ONLY</b></p>
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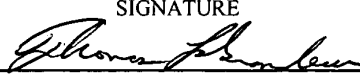

  

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 05-0840 in the amount of **\$1,858.00** to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-0840. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

<p><b>SEND ALL CORRESPONDENCE TO:</b>  <b>ELI LILLY AND COMPANY</b>          PATENT DIVISION          P.O. BOX 6288          INDIANAPOLIS, INDIANA 46206-6288</p> <p style="text-align: center;">Date  <u>Dec. 6, 2004</u>          51,026</p>	<p>SIGNATURE            Thomas LaGrandeur</p> <p>(317) 651-1527          TELEPHONE NUMBER</p>	 <b>25885</b> PATENT TRADEMARK OFFICE
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